

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Antonio Munoz-Escalona LAFUENTE,  
et al.

Serial No.: 09/299,539

Filed: April 26, 1999

For: "CATALYTIC SYSTEMS FOR THE  
POLYMERIZATION AND..."

Group Art Unit: 1755

Examiner: PASTERCZYK, J.

Our Ref.: B-3643 617072-2/JP

Date of this paper:

November 28, 2001

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to Notice to File Missing Parts of Application (CPA)  
(Filing Date Granted) dated 09/28/2001 (copy attached).

DECLARATION OR OATH

[ ] Please find enclosed the original Declaration/Power of Attorney.

PRELIMINARY AMENDMENT

[ ] Please cancel claims \_\_\_\_\_ inclusive

[X] Please find enclosed a Preliminary Amendment (3 pages)

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

[X] Filing fee (37 CFR 1.16(a) - 740.00) \_\_\_\_\_ \$740.00  
(small entity - 370.00)

[ ] Fees for claims

[ ] Independent claims in excess of 3  
(37 CFR 1.16(b) - 84.00) \_\_\_\_\_  
(small entity - 42.00)

[ ] Claims in excess of 20  
(37 CFR 1.16(c) - 18.00) \_\_\_\_\_  
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner of Patents & Trademarks  
Washington, D.C. 20231

John Palmer  
(Typed or printed name of person mailing paper)

Date: November 28, 2001

(Signature of person mailing paper)

☐ Multiple dependent claim(s)  
    (37 CFR 1.16(d) - 260.00)  
    (small entity - 130.00) \_\_\_\_\_

☒ Surcharge fees

☒ Late payment of filing fee  
    (37 CFR 1.16(e) - 130.00)  
    (small entity - 65.00) \_\_\_\_\_ \$ 130.00

☐ Petition fee for filing by person not  
the inventor (37 CFR 1.17(h) \$120.00) \_\_\_\_\_

☐ Recording assignment  
    (37 CFR 1.21(h)(i) 40.00) \_\_\_\_\_

☐ Attached is an assignment to \_\_\_\_\_

Total fees: \_\_\_\_\_ \$870.00

**SMALL ENTITY STATUS**

☐ Verified statement that this filing is by a small entity is

☐ Attached      ☐ filed on \_\_\_\_\_

**PAYMENT OF FEES**

☒ Enclosed is a check in the amount of \$870.00.

☐ Charge Account No. 12-0415 in the amount of \_\_\_\_\_.  
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

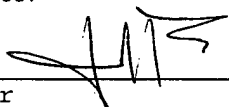
☒ The Commissioner is hereby authorized to charge the following additional fees which may be required or credit any overpayment to Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

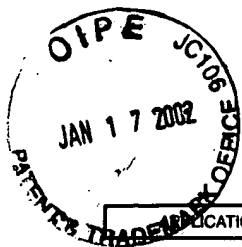
☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in loss of entitlement to small entity status must be filed in the application... prior to paying... issue fee."

  
\_\_\_\_\_  
John Palmer  
Attorney for the Applicant  
Registration No.: 36,885

c/o LADAS & PARRY  
5670 Wilshire Boulevard, Suite 2100  
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300  
Facsimile No.: (323) 934-0202



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARK  
Washington, D.C. 20231

APPLICATION NUMBER	FILING /RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY	DOCKET NO./TITLE
09/299.539	04/26/99	MUNOZ-ESCALONA LAFUENTE	A	B-3643-61707d-2

LADAS & PARRY  
5670 WILSHIRE BOULEVARD  
SUITE 2100  
LOS ANGELES CA 90036-5679

IM61/0928

PASTERCZYK.J

1755

DATE MAILED: 09/28/01

**NOTICE TO FILING MISSING PARTS OF APPLICATION (CPA)**  
*Filing Date Granted*

The Continued Prosecution Application (CPA) request filed on 9-21-01 is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

(a) Applicant must submit \$ 710.00 to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ \_\_\_\_\_ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is **not** required (37 CFR 1.28)).

(b) Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

- ☐ 2. Additional claim fees of
- \$ \_\_\_\_\_ (non-small entity) or \$ \_\_\_\_\_ (small entity) for \_\_\_\_\_ independent claims over 3.
  - \$ \_\_\_\_\_ (non-small entity) or \$ \_\_\_\_\_ (small entity) for \_\_\_\_\_ claims over 20.
  - \$ \_\_\_\_\_ (non-small entity) or \$ \_\_\_\_\_ (small entity) for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21 (m)).
- ☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.
- ☐ 5. Other: \_\_\_\_\_

**A copy of this Notice MUST be returned with the reply.**

Direct the reply and any questions about this Notice to:

Examining Group 1700

(703) 308- 4982

01/23/2002 SZEWDIE1 00000093 09299539

01 FC:131  
02 FC:105

740.00 OP  
130.00 OP

RECEIVED  
JAN 25 2002  
TC 1700